

# COVID-19 CONSIDERATIONS FOR ASSET MANAGERS



Regulators' expectations: short selling restrictions, thresholds/timelines for regulatory reporting, operational risk and effectiveness assessments, customer services and support assessments, regulators emergency rules, product specific rules (AIFMD/UCITS), compliance monitoring programmes, managing insider lists, short selling, circuit breakers, SFTR reporting, LIBOR transition planning, Force Majeure clauses (definitions, causation, notice requirements, consequences), take all reasonable steps to ensure firms continue to operate in customers best interests.

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