

CFTC 1.55 Section4 disclosure Summary

October 31, 2025

Activity/Product Line	Percentage Of Assets	Percentage Of Capital
Equities	6.04 %	0.59 %
FICC	40.82 %	3.74 %
Financing (Resales, Borrows)	40.99 %	0.87 %
Fixed and All Other Assets	6.38 %	11.33 %
Goodwill and Tangible Assets	0.03 %	0.50 %
Investments in Subsidiaries and Receivable from Affiliates	0.04 %	0.84 %
Other Inventory	0.52 %	0.00 %
Receivable from Broker-Dealers and Customers	5.18 %	1.51 %
Total	100.00 %	19.39 %