

## CFTC 1.55 Section4 disclosure Summary

October 31, 2024

Activity/Product Line	Percentage Of Assets	Percentage Of Capital
Equities	8.65 %	1.04 %
FICC	35.22 %	3.56 %
Financing (Resales, Borrows)	45.09 %	0.91 %
Fixed and All Other Assets	6.64 %	10.03 %
Goodwill and Tangible Assets	0.03 %	0.53 %
Investments in Subsidiaries and Receivable from Affiliates	0.06 %	1.09 %
Other Inventory	0.67 %	0.25 %
Receivable from Broker-Dealers and Customers	3.63 %	2.31 %
<b>Total</b>	<b>100.00 %</b>	<b>19.73 %</b>