

CFTC 1.55 Section4 disclosure Summary

September 30, 2025

Activity/Product Line	Percentage Of Assets	Percentage Of Capital
Equities	5.10 %	0.50 %
FICC	43.15 %	4.48 %
Financing (Resales, Borrows)	38.93 %	0.81 %
Fixed and All Other Assets	7.61 %	9.98 %
Goodwill and Tangible Assets	0.03 %	0.51 %
Investments in Subsidiaries and Receivable from Affiliates	0.05 %	0.79 %
Other Inventory	0.58 %	0.17 %
Receivable from Broker-Dealers and Customers	4.55 %	2.32 %
Total	100.00 %	19.54 %