



Michael J. Baker
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Citigroup Global Markets Inc. (“CGMI”) – Citi Private Bank

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This brochure supplement provides information about Michael J. Baker that supplements the firm’s Form ADV Part 2 brochure. You should have received a copy of that brochure. You may obtain an additional copy of that brochure on the SEC’s website at www.adviserinfo.sec.gov by searching the name “Citigroup Global Markets Inc.” in the Investment Adviser Firm section.¹ If you would like us to send you an additional copy of the brochure, please contact us at 212-804-5456 or toll-free at 888-575-3135. If you have any questions about the contents of this supplement, please contact the supervising person listed at the end of this supplement.

If the individual is registered as investment adviser representative in one or more states, additional information about the representative is available on the SEC’s website at www.adviserinfo.sec.gov by entering his or her name into the investment adviser representative search. More information may be available on the BrokerCheck website at www.finra.org/Investors/ToolsCalculators/BrokerCheck/.

Educational Background and Business Experience

Michael J. Baker, born 1978

Educational Background

<u>Name of Post-Secondary Educational Institution Attended</u>	<u>Degree Conferred (if any)</u>
College of William & Mary	BBA

Business Experience

- Investment Counselor, Citi Private Bank, 2018 - Present
- Private Client Advisor, J.P. Morgan Securities, 2017 - 2018
- Financial Consultant, Fidelity Investments, 2012 - 2017
- Associate Investor, J.P. Morgan Private Bank, 2005 - 2012

Michael J. Baker also holds the following licenses:

Series 7: The Series 7 license is granted to persons who pass the General Securities Representative Examination administered by the Financial Industry Regulatory Authority, Inc. The Series 7 is designed to

¹ The Part 2 brochures that describe the services and products available to clients of Citi Private Bank are titled “Citigroup Global Markets Inc. Investment Advisory Products,” “Citi Private Bank Investment Advisory Products,” and “Citigroup Global Markets Inc. and Citi Private Bank Financial Planning Services.”



qualify candidates as securities agents for the solicitation, purchase, and/or sale of all securities products, including corporate securities, municipal securities, municipal fund securities, options, direct participation programs, investment company products, and variable products.

Series 63: The Series 63 is granted to persons who pass the Uniform Securities Act Examination administered by the Financial Industry Regulatory Authority, Inc. The Series 63 is designed to qualify candidates as securities agents. The examination covers the principles of state securities regulation reflected in the Uniform Securities Act. The Series 7 must be successfully completed in addition to the Series 63 exam before a candidate can register with a state.

Series 65: The Series 65 license is granted to persons who pass the Uniform Investment Adviser Law Examination administered by the Financial Industry Regulatory Authority, Inc. The Series 65 is designed to qualify candidates as investment adviser representatives.

Disciplinary Information²

Michael J. Baker does not have any legal or disciplinary events required to be disclosed in this supplement.

Other Business Activities²

Michael J. Baker does not have other business activities outside of employment at CGMI – Citi Private Bank that are required to be disclosed in this supplement.

The representative may offer products and services other than investment advisory services. The amount of compensation the representative earns for advisory services may be more or less than compensation received for non-advisory services. This may pose a potential conflict of interest to recommend products based on the compensation received rather than your needs. When CGMI or one of its affiliates identifies an actual conflict of interest, we will strive to mitigate that conflict or disclose it to our clients. For additional information about CGMI compensation please refer to the CGMI Form ADV Part 2 brochure.

Additional Compensation

CGMI has implemented policies and procedures intended to ensure that CGMI and its employees avoid actual or perceived conflicts of interest when giving or receiving gifts and entertainment from relevant parties and to comply with all applicable laws and regulations by limiting the maximum value that any individual is permitted to receive in any calendar year.

Supervision

CGMI – Citi Private Bank supervises Michael J. Baker and monitors the advice that the representative provides to clients through regular reviews of client accounts and activity for adherence to client investment objectives and Citi Private Bank’s internal policies and procedures. The name and contact information for the person responsible for supervising Michael J. Baker’s investment advisory activities is Helan Kim, Supervisory Principal, at (303) 296-5808.

² Additional information on other legal and disciplinary events and other business activities of the representative may be found at FINRA BrokerCheck®, www.finra.org/Investors/ToolsCalculators/BrokerCheck/ by clicking the option to get a detailed report on the representative.