



Maria A. Roque

201 S Biscayne Boulevard, Suite 3150
Miami, FL 33131
(305) 679-5228

Citigroup Global Markets Inc. (“CGMI”) – Citigold Private Client

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This brochure supplement provides information about Maria A. Roque that supplements the firm’s Form ADV Part 2 brochure. You should have received a copy of that brochure. You may obtain an additional copy of that brochure on the SEC’s website at www.adviserinfo.sec.gov by searching the name “Citigroup Global Markets Inc.” in the Investment Adviser Firm section.¹ If you would like us to send you an additional copy of the brochure, please contact us at 212-804-5456 or toll-free at 888-575-3135. If you have any questions about the contents of this supplement, please contact the supervising person listed at the end this supplement.

If the individual is registered as investment adviser representative in one or more states, additional information about the representative is available on the SEC’s website at www.adviserinfo.sec.gov by entering his or her name into the investment adviser representative search. More information may be available on the BrokerCheck website at www.finra.org/Investors/ToolsCalculators/BrokerCheck/.

Educational Background and Business Experience

Maria A. Roque, born 1964

Educational Background

<u>Name of Post-Secondary Educational Institution Attended</u>	<u>Degree Conferred (if any)</u>
University of Miami	BA
University of Miami	JD

Business Experience

Private Client Advisor, Citigold Private Client/Citi Private Bank, 2010 - Present
Director, Barclays Wealth, 2008 - 2009
Investment Representative, Lehman Brothers, 2007 - 2008
Executive Director, Atlas One Financial Group, 2006 - 2007

Maria A. Roque also holds the following licenses:

Series 7: The Series 7 license is granted to persons who pass the General Securities Representative Examination administered by the Financial Industry Regulatory Authority, Inc. The Series 7 is designed to qualify candidates as securities agents for the solicitation, purchase, and/or sale of all securities products,

¹ The Part 2 brochures that describe the services and products available to clients of Citigold Private Client are titled “Citigroup Global Markets Inc. Investment Advisory Products,” “Citi Private Bank Investment Advisory Products,” and “Citigroup Global Markets Inc. and Citi Private Bank Financial Planning Services.”



Citigold® Private Client

including corporate securities, municipal securities, municipal fund securities, options, direct participation programs, investment company products, and variable products.

Series 66: The Series 66 license is granted to persons who pass the Uniform Combined State Law Examination administered by the Financial Industry Regulatory Authority, Inc. The Series 66 is designed to qualify candidates as both securities agents and investment adviser representatives. The Series 7 must be successfully completed in addition to the Series 66 exam before a candidate can register with a state as an investment adviser representative.

Disciplinary Information²

Maria A. Roque does not have any legal or disciplinary events required to be disclosed in this supplement.

Other Business Activities²

Maria A. Roque does not have other business activities outside of employment at CGMI – Citigold Private Client that are required to be disclosed in this supplement.

The representative may offer products and services other than investment advisory services. The amount of compensation the representative earns for advisory services may be more or less than compensation received for non-advisory services. This may pose a potential conflict of interest to recommend products based on the compensation received rather than your needs. When CGMI or one of its affiliates identifies an actual conflict of interest, we will strive to mitigate that conflict or disclose it to our clients. For additional information about CGMI compensation please refer to the CGMI Form ADV Part 2 brochure.

Additional Compensation

CGMI has adopted policies that prohibit the acceptance of gifts and entertainment that may influence the investment advice provided to clients. Consistent with these policies, First Name Last Name is permitted to receive gifts of up to \$100 and receive ordinary and customary business entertainment, subject to a limit of \$250 per person per event to a maximum of \$1,000 of meals and entertainment expenses per person per vendor.

Supervision

CGMI supervises Maria A. Roque and monitors the advice that the representative provides to clients through regular reviews of client accounts and activity for adherence to client investment objectives and Citigold Private Client's internal policies and procedures. The name and contact information for the person responsible for supervising Maria A. Roque's investment advisory activities is Jose J. Colon, Supervisory Principal, at (305) 347-1870.

² Additional information on other legal and disciplinary events and other business activities of the representative may be found at FINRA BrokerCheck®, www.finra.org/Investors/ToolsCalculators/BrokerCheck/ by clicking the option to get a detailed report on the representative.